



*FW* Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew De Mello et al  
Serial No. : 10/501,301  
Filing Date : January 14, 2002  
Group Art Unit : 1732  
Attorney Docket No. : 082127.088152-001  
For : PREPARATION OF NANOPARTICLES BY A ONE-STAGE  
PROCESS USING A CONTINUOUS FLOW MINIATURISED  
REACTION VESSEL

Commissioner for Patents  
Office of Initial Patent Examination's Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

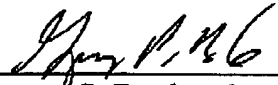
REQUEST FOR FILING RECEIPT CORRECTION

Applicants respectfully request correction of the filing receipt for the above-identified application and forwarding of the new filing receipt/foreign filing license. Under the heading "Power of Attorney," the first attorney is incorrect. Accordingly, please replace "Thomas Bohan--29773" with "Charles E. Burpee--29776." This error is evidenced by the Power of Attorney of record. A notice to this effect and corrected Filing Receipt is therefore requested.

Respectfully submitted,

ANDREW DE MELLO ET AL

By: Warner, Norcross & Judd LLP

  
\_\_\_\_\_  
Gregory P. Bondarenko  
Registration No. 44,547  
900 Fifth Third Center  
111 Lyon Street, N.W.  
Grand Rapids, MI 49503-2489  
(616) 752-2420

1220781



**Warner  
Norcross & Judd LLP**  
ATTORNEYS AT LAW  
75th Anniversary  
1931 - 2006

900 Fifth Third Center  
111 Lyon Street, N.W.  
Grand Rapids, Michigan 49503-2487

Main Switchboard  
616.752.2000

Fax Numbers  
616.222.2420  
616.752.2500

## Fax Cover Sheet

<b>Date:</b>	May 2, 2006	<b>Pages:</b>	7, including this cover sheet
<b>To:</b>	Office of Initial Patent Examination Filing Receipt Corrections U.S. Patent and Trademark Office	<b>From:</b>	Gregory P. Bondarenko Warner Norcross & Judd LLP
<b>Fax Number:</b>	571-273-8000	<b>Direct Dial:</b>	616.752.2420
<b>Telephone:</b>		<b>Direct Fax:</b>	616.222.2420
		<b>Email:</b>	gbondarenko@wnj.com
		<b>Client</b>	082127.088152-001
		<b>Number:</b>	
<b>Remarks:</b>	Application No. 10/501,301		

Attached Request faxed February 1, 2006. Corrected Filing Receipt not yet received. Please advise as to when we can expect Corrected Receipt. Thank you.

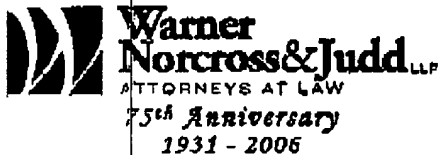
**Confidentiality Notice** The attached information is intended ONLY for the named addressee. It may contain confidential or privileged communications. If you receive this fax in error, you are requested to destroy it and contact the sender.



TRANSMISSION VERIFICATION REPORT

TIME : 02/01/2006 22:37  
NAME :  
FAX :  
TEL :  
SER.# : BROJ5J341502

DATE, TIME	02/01 22:35
FAX NO./NAME	915712738000
DURATION	00:01:37
PAGE(S)	06
RESULT	OK
MODE	STANDARD ECM



900 Fifth Third Center  
111 Lyon Street, N.W.  
Grand Rapids, Michigan 49503-2487

Main Switchboard  
616.752.2000

Fax Numbers  
616.222.2420  
616.752.2500

**Fax Cover Sheet**

Date:	February 2, 2006	Pages:	6, including this cover sheet
To:	Office of Initial Patent Examination's Filing Receipt Corrections U.S. Patent and Trademark Office	From:	Gregory P. Bondarenko Warner Norcross & Judd LLP
Fax Number:	571-273-8000	Direct Dial:	616.752.2420
Telephone:		Direct Fax:	616.222.2420
		Email:	gbondarenko@wnj.com
		Client Number:	082127.088152-001
Remarks:			



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

*Imperial College*

*88152*

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,301	12/13/2005	1732	1180	82127	3	13	2

CONFIRMATION NO. 6128

Intellectual Property Practice Group  
Warner Norcross & Judd  
900 Fifth Third Center  
111 Lyon Street NW  
Grand Rapids, MI 49503-2487

FILING RECEIPT



\*OC000000017789654\*

Date Mailed: 01/10/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andrew De Mello, Middlesex, UNITED KINGDOM;  
John De Mello, Teddington, UNITED KINGDOM;  
Joshua Edel, Hendon, UNITED KINGDOM;

Power of Attorney:

~~Thomas Bohan--29773~~

J Wood--38062

William Dani--37810

Gregory Bondarenko--44547

Chad Kleinheksel--53141

*Charles E. Burpee -- 29776*

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/00108 01/13/2003

Foreign Applications

UNITED KINGDOM 0200744.1 01/14/2002

Projected Publication Date: 04/13/2006

Non-Publication Request: No

Early Publication Request: No

**Title**

Preparation of nanoparticles

**Preliminary Class****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not** result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).